## Annotating anaphoric reference in dialogue: the CODI/CRAC 2022 Shared Task corpus

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> http://universalanaphora.org https://arciduca.org/

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## Motivations for the CODI/CRAC Shared Tasks and Corpus

#### Broadening the scope of anaphoric interpretation

- ▶ Up until fairly recently anaphoric interpretation has focused primarily on one genre (NEWS) and on one type of anaphoric reference (IDENTITY REFERENCE, or COREFERENCE), but this is rapidly changing
- NEW GENRES:
  - ▶ BIOMEDICAL TEXTS (e.g., CRAFT (Cohen et al., 2017))
  - ENCYCLOPEDIA articles (e.g., LIVEMEMORIES (Rodriguez et al., 2010) / WIKICOREF (Ghaddar and Langlais, 2016) / PHRASE DETECTIVES (Poesio et al., 2019))
  - ► FICTION (e.g., LITBANK (Bamman et al., 2020) / PHRASE DETECTIVES)
- ▶ BENCHMARKS to explore some issues in depth, as well as full-corpus datasets
  - ► E.g., Winograd Schema Challenge (Rahman&Ng 2012), GAP (Webster et al, 2018)

#### Still under-researched

- ► Anaphoric reference in other languages (see the CRAC 2022 Shared Task on Multilingual Coreference Resolution, this conference)
- OTHER TYPES OF ANAPHORIC REFERENCE
  - ► Bridging (e.g., ISNOTES, ARRAU, PDT)
  - Discourse deixis (e.g., ARRAU, PDT)
- ▶ DIALOGUE

## Anaphoric Reference in Dialogue

#### Anaphora and Reference in Dialogue

A number of issues in interpreting anaphoric reference are specific to reference in dialogue:

- Disfluencies and repairs
- Abandoned and discontinuous markables
- First and second person pronouns (not always deictic!)
- Questions
- ► More ambiguous / vague references
- Expressions used to establish grounding instead of referring

#### Disfluencies and Repairs

```
U : okay
um
how long will it take engine one to get to Danville
Dansville
```

trains93 subcorpus, dia18-1)

U : okay so that's four hours

S: um

U : or three

S: [three hours]

trains93 subcorpus, dia18-4)

(From the ARRAU corpus, Trains-93 subcorpus (Poesio and Artstein, 2008; Uryupina et al., 2020))

#### Discontinuous markables: Completions

(From the Bielefeld Toy Plane Corpus (Skuplik, 1999; Poesio and Rieser, 2010)

#### Second person pronoun references

S: hello how can I help [you]

trains93 subcorpus, D93\_26\_3)

U: can [you] do can [you] do things simultaneously here or do they have to be done like can I have the same time having it the engine

trains93 subcorpus, D93\_26\_3)

U: when [you] get to Dansville

(trains93 subcorpus, D93\_22\_3)

## First person pronoun references?

```
U: okay
  [we]'re still in Corning
```

► (trains93 subcorpus, dia18-4)

#### Ambiguous references

	1.1	М	:	all right system
	1.2		:	we've got a more complicated problem
	1.4		:	first thing _I'd_ like you to do
	1.5		:	is send engine E2 off with a boxcar to Corning
				to pick up oranges
	1.6		:	uh as soon as possible
	2.1	S	:	okay
	3.1	М	:	and while it's there it should pick up the tanker
(2)	4.1	S	:	okay
	4.2		:	and that can get
	4.3		:	we can get that done by three
	5.1	М	:	good
	5.3		:	can we please send engine E1 over to Dansville
				to pick up a boxcar
	5.4		:	and then send [it]; right back to Avon
	6.1	S	:	okay
	6.2		:	it'll get back to Avon at 6
(From	+ha TI	2ΔΙΝ	5 0	ornus (Heeman and Allen 1005: Poesio and

(From the TRAINS corpus (Heeman and Allen, 1995; Poesio and Artstein, 2005)



## Vague / unclear references

: um can you do can you do things simultaneously here or do they have to be done like one like can I have the same time having [it] the engine

trains93 subcorpus, D93\_26\_3)

U : and fill up and engine two to Dansville

S : okay

U : pick up those three boxcars and bring them to Corning and engine three with those two boxcars to Corning

S : okay

U : okay and then fill them up

S: uh-huh

 $\mathsf{U}$ : uh and bring them to Elmira

S : okay let me do [this] real quick to make sure you have enough time six a.m. seven okay you have done [it]

(trains93 subcorpus, D93\_25\_5)



#### Questions

U : so how long will it take if I take the two boxcars ship from Elmira to Corning load up the oranges take the two tankers to Elmira and then make orange juice there

S : [eight hours]

U : eight hours

(trains93 subcorpus, D93\_26\_5)

#### Referring expressions used for grounding

U : so how long will it take if I take the two boxcars ship from Elmira to Corning load up the oranges take the two tankers to Elmira and then make orange juice there

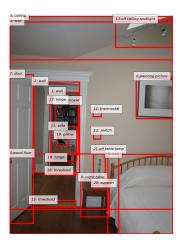
S : [eight hours]

U : eight hours

trains93 subcorpus, D93\_26\_5)

#### Situated reference

(From the *Tell-me-more* corpus, Studied in joint work by Loaiciga, Dobnik and Schlangen - see other invited talk at CRAC 2022)



- 1. it's a bedroom scene with the bed partially visible
- 2. the bed has a curved wooden headboard with slots like a fence
- 3. there is framed art hanging above the bed
- 4. to the left of the bed is a door, which is open
- 5. there is a small square nighstand next to the bed which has a lamp on top of it

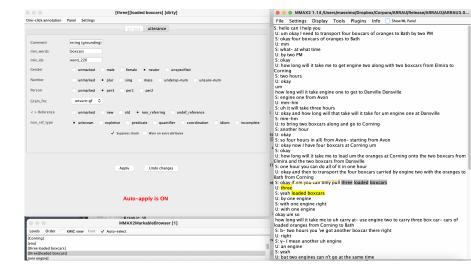
## Previous efforts at annotating anaphoric reference in dialogue

- ▶ Donna Byron's annotation of the TRAINS-93 corpus (Byron, 2002)
- Christoph Mueller's annotation of the Switchboard corpus (Müller and Strube, 2003a)
- ► French ANCOR corpus (Muzerelle et al., 2014)
- ► ARRAU (Poesio and Artstein, 2008; Uryupina et al., 2020)

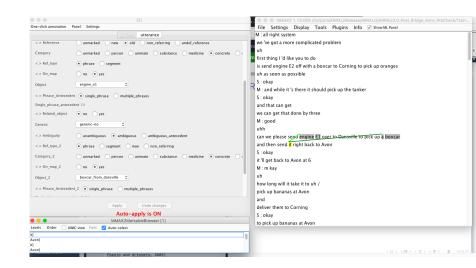
#### Annotation of anaphora and reference in dialogue in ARRAU

- ► The ARRAU corpus (Poesio and Artstein, 2008; Uryupina et al., 2020) is a corpus covering several genres including two dialogue genres
  - The TRAINS corpus
  - The Pear Stories corpus
- Its annotation guidelines cover most of the information included in the current Universal Anaphora proposal
- ► The corpus is annotated with the MMAX2 tool (Müller and Strube, 2003b) which makes it possible to annotate
  - ► Discontinuous markables
  - Ambiguity

#### ARRAU: Discontinuous markables in MMAX2



#### ARRAU: Ambiguity in MMAX2



## The CODI/CRAC 2022 Shared Task and Corpus

# The CODI/CRAC Shared Tasks on Anaphoric Reference in Dialogue (2021, 2022)

- ▶ OBJECTIVE: to promote research on a wide range of anaphoric reference phenomena in dialogue
- TASKS
  - Identity anaphora
  - Bridging reference
  - Discourse deixis
- Created a NEW DATASET: the CODI/CRAC Corpus, including data from four well-known dialogue datasets
  - ► The focus of this talk
- Developed a new scorer (Yu et al, 2022)
  - See separate presentation
- https://codalab.lisn.upsaclay.fr/competitions/614
- ► See talks in the CODI/CRAC workshop for more details

### The CODI/CRAC 2022 Corpus

- ▶ Data from four well-known dialogue datasets:
  - SWITCHBOARD (Stolcke et al, 2000; Nissim et al, 2004)
  - AMI (Carletta, 2006)
  - ► LIGHT (Urbanek et al, 2019)
  - ► PERSUASION (Wang et al, 2019)
- Covering the range of anaphoric references included in the current Universal Anaphora specification
  - ► Identity anaphora
  - Bridging reference
  - Discourse deixis

Annotated according to the ARRAU3 Guidelines

- Creation:
  - ► First release created for 2021 Shared Task
  - Annotation guidelines thoroughly discussed and revised
  - Completely checked this year; new data annotated
- Available from https://github.com/UniversalAnaphora

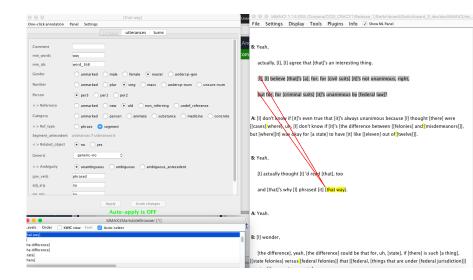


## Dialogue datasets included in the CODI/CRAC 2022 corpus

#### Switchboard

- https://catalog.ldc.upenn.edu/LDC97S62
- One of the best known dialogue corpora
- ▶ 1,155 five-minute spontaneous telephone conversations between two participants not previously acquaintend with each other. In these conversations, callers question receivers on provided topics, such as child care, recycling, and news media. 440 speakers participate in these 1,155 conversations, producing 221,616 utterances (Godfrey, Holliman and McDaniel 1992)
- ► Annotated for dialogue acts by Stolcke et al (1997)
  - https://convokit.cornell.edu/documentation/switchboard.html
- ► Annotated for information status by Nissim et al (2004)

#### Switchboard in MMAX



#### The AMI Meeting Corpus

- https://groups.inf.ed.ac.uk/ami/corpus/
- Another well-known dialogue corpus
- ▶ 100 hours of meeting recordings between several participants. The recordings include signals from close-talking and far-field microphones, individual and room-view video cameras, and output from a slide projector and an electronic whiteboard.
- Several types of annotation, including dialogue acts, topics, summaries, named entities, focus of attention

#### The Light Corpus

- Amazon, Facebook, Google, are all creating dialogue corpora
- Light is a recently created corpus on Parl.ai: https://parl.ai/projects/light/
- ► The LIGHT project is a large-scale fantasy text adventure game research platform for training agents that can both talk and act, interacting either with other models or with humans.
- ► The Light corpus was entirely created through crowdsourcing at different levels

#### The Light corpus

Persona 1: Servant	Persona 2: King
I come from the lower class. I do what I am told without question. I can not read. I have not seen my family in a long time.	I am a king of the whole empire. I give rules and pursuit them. I am brave and fearless.
Carrying: a duster, a small bucket, a rag Wearing: a shirt	Carrying: a crown, a scepter

**Setting:** The main foyer is massive. A grand staircase sits to the back of the foyer leading to the upstairs. At the front of the foyer stand two servants ready to help anyone who comes to visit. To the left of the room there is a doorway leading into a corridor. To the right there is a door leading to another corridor for the King's servants. At the foot of the stairs there is a bearskin rug that is staring at you almost as if still hungry. The walls are lined with portraits of the king and his family.

Servant: my humble king. What am I to do to serve you?

King: Ahhh. My loyal servant. Polish my scepter.

Action: give scepter to servant

Servant: Yes my lord. I will polish it immediately. Am I to return it to you personally?

Action: put scepter in small bucket

**King:** Yes. Yes. Of course. Also check the jewels in my crown. They seem loose. *Action:* give crown to servant

**Servant:** But sire I am not qualified to do that. Would you prefer I take it to someone?

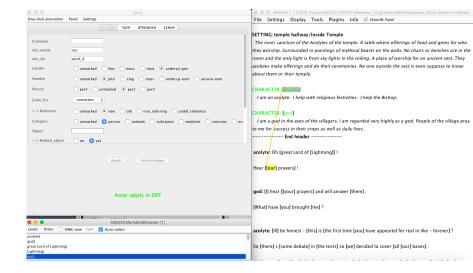
**King:** Oh fine then. *Emote:* gesture sigh

Servant: I am sorry sir the rug startled me

Action: drop crown

King: Haha! That's bear I slain on my latest hunting trip. He's a mighty beast!

#### The Light Corpus in MMAX



#### The Persuasion for Good Corpus

- https://convokit.cornell.edu/documentation/persuasionforgood.html
- ▶ A collection of online conversations generated by Amazon Mechanical Turk workers, where one participant (the persuader) tries to convince the other (the persuadee) to donate to a charity.
- ▶ 1017 conversations, along with demographic data and responses to psychological surveys from users.
- Annotations: various speaker-level annotations (demographics, big five personality traits, etc)

## The annotation scheme used in the CODI/CRAC corpus

#### The annotation scheme

- ► We used the ARRAU3 scheme, which covers the phenomena in the current version of the Universal Anaphora initiative
- ► Followed the ARRAU3 guidelines (see below)

#### Universal Anaphora: Aims

- ➤ There are by now a number of substantial anaphorically annotated corpora, covering several languages, according to broadly comparable guidelines, but created without real coordination
- ► The objective of the Universal Anaphora initiative is to attempt to replicate the success of Universal Dependencies for anaphora

#### Universal Anaphora: Progress so far

- Website: http://universalanaphora.org
- Proposal regarding coverage: https://github.com/UniversalAnaphora/ UniversalAnaphora/blob/main/Universal\_Anaphora\_1\_0\_\_\_ Proposal\_for\_Discussion.pdf
- See also the very extensive CorefUD document, https: //github.com/UniversalAnaphora/UniversalAnaphora/blob/ main/nedoluzhko\_et\_al\_CorefUD20201\_tr66.pdf
- Markup proposals
- ► UA Scorer: https://github.com/juntaoy/universal-anaphora-scorer

#### Universal Anaphora: Anaphoric phenomena covered

## Core: IDENTITY ANAPHORIC REFERENCE VS PREDICATION

- (3) [Mary]<sub>i</sub> bought [a new dress]<sub>j</sub> but [it]<sub>j</sub> didn't fit [her]<sub>i</sub>.
- (4)  $[Mary]_i$  is [a teacher].

#### ALSO COVERING ZEROs, CLITICS

(5) [IT] Giovanni è in ritardo, così mi ha chiesto se posso incontrarlo al cinema. [EN] John is late so he asked me if I can meet him at the movies. (Poesio et al., 2016, ex. 9, p. 29)

### Universal Anaphora: Anaphoric phenomena covered

#### Beyond the core: SPLIT ANTECEDENT PLURALS

(6) 'In THAT direction,' the Cat said, waving its right paw round, 'lives [a Hatter];: and in THAT direction,' waving the other paw, 'lives [a March Hare];. Visit either you like: [they];, 're both mad.' (From Alice in Wonderland, Phrase Detectives corpus.)

# Universal Anaphora: Anaphoric phenomena covered

#### Beyond Identity: BRIDGING (ASSOCIATIVE) REFERENCE

(7) There was not a moment to be lost: away went Alice like the wind, and was just in time to hear it say, as it turned a corner, 'Oh my ears and whiskers, how late it's getting!' She was close behind it when she turned the corner, but the Rabbit was no longer to be seen: she found herself in [a long, low hall, which was lit up by a row of lamps hanging from [the roof]].

There were doors all round the hall, but they were all locked; and when Alice had been all the way down one side and up the other, trying every door, she walked sadly down [the middle], wondering how she was ever to get out again.

# Universal Anaphora: Anaphoric phenomena covered

#### Beyond Identity: EVENT ANAPHORA AND DISCOURSE DEIXIS

- (8) So she was considering in her own mind (as well as she could, for the hot day made her feel very sleepy and stupid), whether the pleasure of making a daisy-chain would be worth the trouble of getting up and picking the daisies, when suddenly a White Rabbit with pink eyes ran close by her. There was nothing so VERY remarkable in [that]; ...
- (9) There was nothing so VERY remarkable in that; nor did Alice think it so VERY much out of the way to hear the Rabbit say to itself, 'Oh dear! Oh dear! I shall be late!' (when she thought it over afterwards, it occurred to her that she ought to have wondered at [this], but at the time [it] all seemed quite natural); ...

### The Annotation

### The annotation process

- ➤ 2021 release annotated by the QMUL group according to the ARRAU3 guidelines
- ► A new version of the manual produced https://sites.google.com/view/arrau/corpus
- ▶ 2022 release:
  - Joint work between QMUL and CMU
  - extensive discussion of guidelines
  - reliability tests run
  - 2021 corpus completely checked
  - each document in the new test set doubly annotated

# Reliability results

#### Small reliability study:

- ➤ 3 documents from Persuasion already annotated last year 377 markables, 1241 words
- Compare two annotators from CMU with each others and with last year's annotation
- lacktriangle Using Krippendorff's lpha for identity as suggested by Passonneau

	morphosyntax	identity	bridging	genericity
All	0.74	0.80	0.49	0.43
Taiqi-Katherine	0.78	0.81	0.44	0.59

### Issues: non-dialogue specific

- Structure of measure NPs / quasi-partitives
  - ► [4mg of [oestradiol]]: two markables
  - ► [a lot of good] one markable (a lot of determiner)
  - how about [tons of good]?
- Genericity

# Issues: dialogue specific

- Ambiguity
  - Ambiguity of first and second person pronouns
  - Ambiguity name / reference in AMI
- Role of referring expressions in grounding
- Associative DDs

# Ambiguity name/reference

PM: [Ebenezer] .

```
File Settings Display Tools Plugins Info Show ML Panel
PM: Okay, well [I] think [were] ready to begin .
Right, [[my] names [Adam Duguid]], [were] [here] because of [real reaction], um, [we] have in
[the group]
ME: Oh , [Ebenezer Ademesoye] .
Would [you] like [me] to spell [that]?
S
PM: Um, yeah, go for [it] [mate].
ME: Um, N E Z
PM: N E Z .
ME: E R .
```

### Proposed solutions

- ► Ambiguity of first and second person pronouns: use generic interpretation
- Referring expressions used for grounding: mark as non-referring

### Some statistics

		Docs	Tokens	Markables	DO	Bridging	D. Deix
LIGHT	train	20	11495	3907	2132	381	72
	dev	21	11824	3941	2181	424	84
	test	38	22017	7330	3770	812	128
AMI	train	7	33741	8918	4579	853	230
	dev	3	18260	4870	2350	638	118
	test	3	16562	3990	2007	432	118
PERS.	train	21	9185	2743	1242	248	95
	dev	27	12198	3697	1715	316	133
	test	33	14719	4233	2111	304	105
SWITCH.	train	11	14992	4024	1679	589	128
	dev	22	35027	9392	3991	1165	265
	test	12	14605	3888	1606	464	107
Total		218	214625	60933	29363	6626	1583

### Discussion and Conclusions

#### Related work

- ➤ A sister project: annotation in Situated Dialog, focusing in particular on reference to the visual situation (Loaiciga, Dobnik, Schlangen)
- ▶ the BOLT corpus of online discussions

#### Discussion

- Work on annotating anaphora and reference in dialogue still limited
- CODI/CRAC Shared Task corpus an attempt to push forward the state of the art both in terms of annotation guidelines and of developing models
- Still a number of issues
  - Annotation guidelines need work
  - Tools still limited
  - Not many systems can handle e.g., discontinuous markables / discourse deixis

# Open Issues

- Associative DDs
- ► Sums

#### Conclusions

- Objectives of this talk
  - Advertise that new dialogue corpora annotated for both anaphora reference are available
  - Mention some of the issues
- Current state of the art on anaphoric annotation designed primarily for written text, we need new annotation schemes, new tools, but also new computational models
- Some issues, particularly related to combining anaphora and reference (deixis)

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